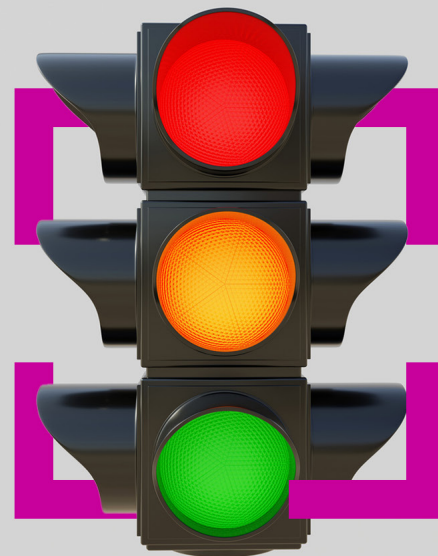


# Speed and efficiency with regulatory compliance assistance powered by genAI



## Ensure compliance, reduce risk, and accelerate contract review

Companies face significant challenges in conducting legal and regulatory compliance and policy checks due to the rapidly evolving landscape of laws, industry standards, and internal policies. This includes managing the tracking and interpreting complex, region-specific regulations, integrating compliance requirements across diverse systems, and ensuring consistent enforcement and documentation. As a result, organizations risk non-compliance, operational inefficiencies, and potential legal or financial penalties.

## Integreon legal & regulatory compliance assistance services

A comprehensive, generative artificial intelligence (AI)-powered services that automates and enhances regulatory compliance and policy checks across contractual ecosystems. Powered by Leah modules and built by Integreon subject matter experts, this service streamlines compliance mapping, document redlining, and policy risk analysis with unmatched speed and accuracy.

### Key Benefits:

- **Automate compliance checks** across contracts and policies.
- **Identify jurisdiction-specific regulations** based specific line of business and contract geography.
- **Remediate non-compliant clauses** through AI-powered redlining and risk analysis.
- **Enable real-time decision-making** through contextual AI chat and compliance models.
- **Proactive risk detection** through identification of compliance gaps.

## How it works: Bringing together the power of genAI expertise

### 1. Discovery

- Documents uploaded to a central knowledge base.
- Bespoke model trained in regulation-specific compliance analysis.
- Compliance check report or conversational insights generated.

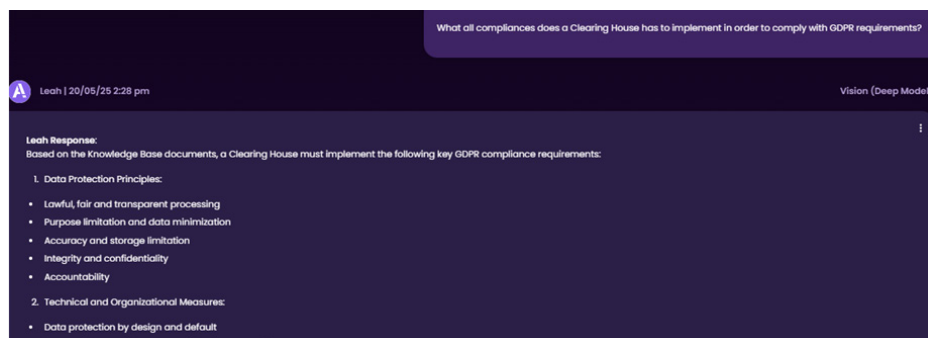
## HIPAA Compliance Evaluation and Revision of Data Processing Agreement

### Compliance Check – Compliant, Moderately Non-Compliant, Non-Compliant, Excessive Obligation

Identified Clause	Compliant with HIPAA	HIPAA Provision	Reasoning	Recommended Language
1.1 HIPAA: Refers to the Health Insurance Portability and Accountability Act of 1996, including its implementing regulations.	Compliant	45 CFR §160.103	The definition accurately references HIPAA and its implementing regulations, which aligns with the statutory definition.	N/A
1.6 Personal Data: Refers to all information relating to an identified or identifiable natural person, including Protected Health Information (PHI) under HIPAA, Personal Data under GDPR, and Personal Information under CCPA.	Compliant	45 CFR §160.103	The definition appropriately includes PHI under HIPAA within the broader category of Personal Data, which is consistent with HIPAA's definition of PHI.	N/A
2.1 Nature and Purpose: The Processor will process Personal Data solely for the purpose of providing the Services as described in the underlying service agreement.	Compliant	45 CFR §164.502(a)(1), §164.504(a)(2)(i)	This clause limits the use of PHI to the purposes specified in the agreement, which aligns with HIPAA's requirement that a Business Associate may only use or disclose PHI as permitted by the contract or as required by law.	N/A
3.1 General Obligations (a) Process Personal Data only on documented instructions from the Controller, including with regard to transfers of Personal Data to a third country or international organization	Compliant	45 CFR §164.504(a)(2)(i)	This provision ensures that the Processor (Business Associate) only uses or discloses PHI as permitted by the Controller (Covered Entity) or as required by law, which aligns with HIPAA requirements.	N/A
3.1 General Obligations (b) Ensure that persons authorized to process Personal Data have committed to confidentiality	Compliant	45 CFR §164.504(a)(2)(ii)(B)	This aligns with HIPAA's requirement that Business Associates ensure that any agents or subcontractors agree to the same restrictions and conditions that apply to the Business Associate.	N/A
3.1 General Obligations (c) Implement appropriate technical and organizational measures to ensure a level of security appropriate to the risk	Compliant	45 CFR §164.308, §164.310, §164.312	This provision aligns with HIPAA's Security Rule requirements for administrative, physical, and technical safeguards.	N/A
3.1 General Obligations (d) Notify the Controller without undue delay after becoming aware of a personal data breach	Moderately Non-Compliant	45 CFR §164.410	While the clause requires notification "without undue delay," it does not specify the maximum timeframe of 60 days after discovery as required by HIPAA.	"Notify the Controller without undue delay after becoming aware of a personal data breach, and in no case later than 60 calendar days following discovery of a breach."

## 2. Helpdesk

- Real-time Q&A provided via genAI.
- Custom data models trained by Integreon resources based on regulatory frameworks (e.g., DORA).
- Contextual insights instantly retrieved for informed decision-making.



## 3. Redlining

- Contract remediation automated with AI-generated redlining.
- Non-compliant provisions identified and edited.
- Deliver clean, compliant documents aligned with regulatory mandates.

### Risk and Remediation Report: BAA Sample 1.docx

Clause Level Risk Matrix		
Clause Name	Risk Level	Risk Analysis
Use and Disclosure of PHI	●	The amendment clarifies PHI usage, reducing potential legal disputes over unauthorized use, thus mitigating high risk associated with compliance failures.

**Remediation Analysis**

**Clause Name :** Use and Disclosure of PHI

**Original Language :** Business Associate agrees to use the PHI for the purpose of performing the services specified in the underlying agreement between the parties.

**Remediation Action :** Amend

**Recommended Language :** Business Associate agrees to use the PHI for the purpose of performing the services specified in the underlying agreement between the parties, subject to and to the extent of express authorization by the patient, enrollee, or subscriber where required.

**Rationale :** As per Redline Rule (restrictions upon usage of medical information for purposes other than to provide health care services to the patient), the recommendation is to review clauses that restrict the use of medical confidential information and ensure that such restrictions are subject to and to the extent of express authorization by a patient, enrollee, or subscriber. In the provided contract, Section 2.1 states that the Business Associate agrees to use the PHI for the purpose of performing the services specified in the underlying agreement. However, it does not explicitly state that such use is subject to the express authorization of the patient, enrollee, or subscriber. Since the clause restricts the use of PHI to certain purposes, the Rule requires that this restriction be expressly subject to patient authorization. Therefore, an amendment is necessary to bring the clause into compliance with the Rule by adding the required language regarding express authorization.

## Case study

### Client:

Global Insurance Brokerage Firm

### Challenge:

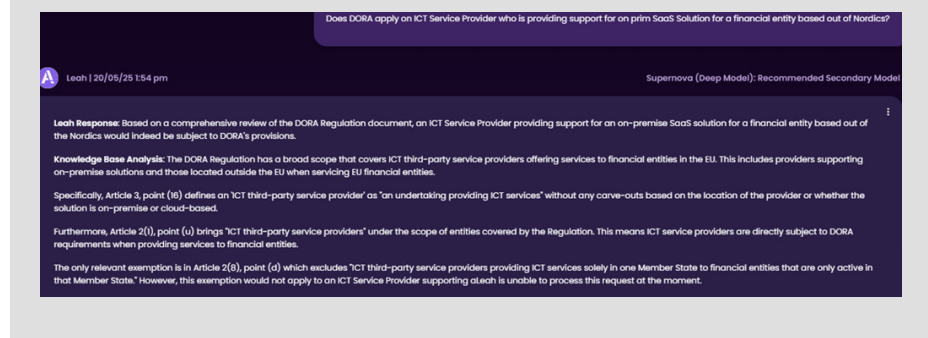
Streamline process for assessing DORA compliance for existing ICT vendors in the EU.

### Approach & results:

- Trained custom Helpdesk model on DORA regulations and requirements.
- Current contracts reviewed using AI to identify compliance gaps.
- Remediation plan created for non-compliant terms.
- Real-time compliance Q&A integrated into client workflow.

### The integreon difference:

- Domain-specific genAI expertise
- Customizable knowledgebases
- End-to-end support from compliance mapping to document remediation
- Regulation-agnostic scalability (e.g., DORA, GDPR, HIPAA, etc.)
- ~50% productivity gains achieved
- 100% SLA compliant delivery



Learn how Integreon can help your organization do more and do it better.  
Contact us today at [info@integreon.com](mailto:info@integreon.com) or visit [integreon.com](https://integreon.com).



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